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Practitioner's Docket No.: 210_211

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mark Alexander Hill, Mark Damian Morelli, Robert Paul Dolan, Paul W. James, Gayatri Chaturvedi and Thomas L. DeWolf

Serial No.: 09/679,853

Art Unit: 2184

Filed: October 5, 2000

Examiner: Not Assigned

For: METHOD AND APPARATUS FOR CONNECTING TO HVAC DEVICE

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231 on January 22, 2001.

Cheryl M. Nichols
Cheryl M. Nichols

COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION

(check and complete this item, if applicable)

- I. ☒ This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed November 28, 2000.
- ☒ A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OTHER PAPERS ENCLOSED

- III. ☐ A Preliminary Amendment canceling claims _____ without prejudice or disclaimer
- ☒ Assignment with Assignment Transmittal

TRANSMITTAL OF ENGLISH TRANSLATION
OF NON-ENGLISH LANGUAGE PAPERS

- IV. ☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

SMALL ENTITY STATUS

- V. ☐ A statement that this filing is by a small entity is attached.
- ☐ A separate refund request accompanies this paper.
- ☐ was filed on _____ (original).

COMPLETION FEES

VI. **WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.

NOTE: For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. 1.28(a).

1. Filing Fee
☒ Original Patent Application \$ _____
(37 C.F.R. 1.16(a) --\$760.00; Small Entity--\$380.00)
 2. Fees for Claims (Claims; Indep.)
☐ each independent claim in excess of 3 \$ _____ .00
(37 C.F.R. 1.16(b)--\$78.00; small entity--\$39.00)
☐ each claim in excess of 20 \$ _____ .00
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)
☐ multiple dependent claim(s) \$ _____ .00
(37 C.F.R. 1.16(d)--\$260.00; small entity--\$130.00)
 3. Surcharge fees
☐ late payment of filing fee \$ _____ .00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

and/or

☐ late filing of original declaration or oath \$ _____ .00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- NOTE:** If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).
4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$ _____ .00
(37 C.F.R. 1.17(i) and 1.47--\$130.00)
 5. ☐ Fee for processing an application filed with a specification in a non-English language \$ _____ .00
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)
 6. ☐ Assignment Fee \$ _____

Total Completion Fees \$

EXTENSION OF TIME

VII. ☒ Applicant believes that no extension of term is required.

TOTAL FEE DUE

VIII. The total fee due is:

Completion fee(s)	\$
Extension fee (if any)	\$ <u> .00</u>
Total Fee Due	\$

PAYMENT OF FEES

IX. ☐ Enclosed is a check in the amount of \$.
☐ Charge Account No. in the amount of \$. A duplicate of this request is attached.

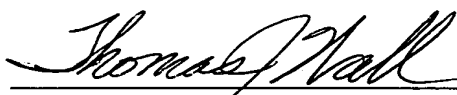
AUTHORIZATION TO CHARGE ADDITIONAL FEES OR CREDIT OVERPAYMENT

X. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Date: January 22, 2001



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SECTOR 7



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/679,853	10/05/2000	Mark Alexander Hill	210_211

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FORMALITIES LETTER



OC000000005579507

Date Mailed: 11/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE